



PROGRESS AND CHALLENGES TOWARD A FUTURE INTEGRATED SPACE WEATHER FORECASTING SYSTEM

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RCAAM OF THE ACADEMY OF ATHENS



SEPRAD Expert Workshop

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OUTLINE

- > The need for an integrated SWx forecasting platform
- ▶ Fusing together solar flare, CME and SEP treatment
 - Some approaches for a combined treatment
- > FLARECAST: a possible platform able to accommodate this combined treatment
 - Science extensions
 - Technical reference base
- Validation: a vital step
- Conclusions

SEIBERSDORF LABORATORIES ŧ SEPRAD EXPERT WORKSHOP M, K. GEORGOULIS **SPACE WEATHER FORECASTING: TEMPORAL SCALES** Arrival of CME accel. Flare "Hard" (X, γ)- First flare-Geo-Shock / CME arrival Onset accel. particles ray photons storm particles t₀ + 8 $t_0 + 20$ ŀ t_0 week 1 - 4 days hours ш L 1000 100 10000 1 10 Time (min) • A total span of more than <u>4 orders of magnitude</u>

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SPACE WEATHER FORECASTING: TEMPORAL SCALES

Flare Onset	"Hard" (Χ, γ)– First flare- ray photons accel. particl	Arrival of CME accel.	Shock / CME arrival	Geo- storm
t _o	$t_0 + 8 t_0 + 20$	hours	1 - 4 days	week
1	10	100	1000	10000
		Time (min)		

- A total span of more than <u>4 orders of magnitude</u>
- Hard flare photons and non-thermal particulate (mostly protons >10 MeV) affect humans beyond LEO and on solar system bodies lacking an atmosphere. Detrimental for space-based electronics, radio blackouts, aviation, etc.

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SPACE WEATHER FORECASTING: TEMPORAL SCALES



Pard flare photons and non-thermal particulate (mostly protons >10 MeV) affect humans beyond LEO and on solar system bodies lacking an atmosphere. Detrimental for space-based electronics, radio blackouts, aviation, etc.

No early warning time for flare photons slim window for particulate in worst case! SEIBERSDORF LABORATORIES 100 0 1100 010

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SPACE WEATHER FORECASTING: SPATIAL SCALES



km) to the CME / SEP products at I AU (~1.5 x 10^8 km) Credit: ESA

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Source: Severe Space Weather Events: Understanding Societal and Economic Impact, US Space Study Board (2008) – also, Oughton et al. (2016) for economic losses (BEUR per day)

If one sector goes down, impact is amplified due to interconnections



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FLARE PHOTON RADIATION & SOME IMPACTS



Composite X-ray/ γ -ray spectrum from 1 keV to 100 MeV for a large flare (Lin et al., 2007 – see also Vilmer 2012 for details)

Issues with X- and γ -rays (> 100 keV; ~10¹⁹ Hz):

Biological: cell and DNA impact or even destruction (astronauts in EVA)

Technological: saturation issues in Sun-observing telescopes (attenuators must be deployed) and, possibly, sensitive electronics

Focus on solar flare prediction

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RADIO BLACKOUTS

Scale	Description	Effect	Physical measure	Average Frequency (1 cycle = 11 years)
R 5	Extreme	HF Radio: Complete HF (high frequency) radio blackout on the entire sunlit side of the Earth lasting for a number of hours. This results in no HF radio contact with mariners and en route aviators in this sector. Navigation: Low-frequency navigation signals used by maritime and general aviation systems experience outages on the sunlit side of the Earth for many hours, causing loss in positioning. Increased satellite navigation errors in positioning for several hours on the sunlit side of Earth, which may spread into the night side.	X20 (2 x 10 ⁻ 3)	Less than 1 per cycle
R 4	Severe	HF Radio: HF radio communication blackout on most of the sunlit side of Earth for one to two hours. HF radio contact lost during this time. Navigation: Outages of low-frequency navigation signals cause increased error in positioning for one to two hours. Minor disruptions of satellite navigation possible on the sunlit side of Earth.	X10 (10 ⁻³)	8 per cycle (8 days per cycle)
R 3	Strong	HF Radio: Wide area blackout of HF radio communication, loss of radio contact for about an hour on sunlit side of Earth. Navigation: Low-frequency navigation signals degraded for about an hour.	X1 (10 ⁻⁴)	175 per cycle (140 days per cycle)
R 2	Moderate	HF Radio: Limited blackout of HF radio communication on sunlit side, loss of radio contact for tens of minutes. Navigation: Degradation of low-frequency navigation signals for tens of minutes.		350 per cycle (300 days per cycle)
R 1	Minor	HF Radio: Weak or minor degradation of HF radio communication on sunlit side, occasional loss of radio contact. Navigation: Low-frequency navigation signals degraded for brief intervals.	M1 (10 ⁻⁵)	2000 per cycle (950 days per cycle)

Credit: NOAA SWPC (R-scale)

- At least a few (< 10) severe (R4+) radio bursts are expected during a typical solar cycle.
- At least one extreme (R5) burst is expected in two consecutive cycles

Focus on solar flare prediction

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* Estimated based on

frequency of occurrence

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COMBINED FLARE – CME IMPACT: GEOMAGNETIC STORMS*

and assuming flare Average Frequency (1 cycle = 11 years) Physical measure Scale Description Effect association of these CMEs Extreme Kp = 9 4 per cycle (4 days per cycle) Power systems: Widespread voltage control problems and protective system problems can occur, some grid systems may experience complete collapse or blackouts. Transformers may experience damage. Spacecraft operations: May experience extensive surface charging, problems with orientation, uplink/downlink Flares > X10+and tracking satellites. Other systems: Pipeline currents can reach hundreds of amps, HF (high frequency) radio propagation may be impossible in many areas for one to two days, satellite navigation may be degraded for days, low-frequency ra navigation can be out for hours, and aurora has been seen as low as Florida and southern Texas (typically 40° geomagnetic lat.). Severe Kp = 8, Power systems: Possible widespread voltage control problems and some protective systems will mistakenly trip 100 per cycle (60 days per cycle) out key assets from the grid including a 9-Spacecraft operations: May experience surface charging and tracking problems, corrections may be needed and the second se Flares ~ (M7 - X1) orientation problems. Other systems: Induced pipeline currents affect preventive measures, HF radio propagation sporadic, satellit navigation degraded for hours, low-frequency radio navigation disrupted, and aurora has been seen as low as Alabama and northern California (typically 45° geomagnetic lat.). G 3 Strong Power systems: Voltage corrections may be required, faise alarms triggered on some protection devices. Kp = 7 200 per cycle Spacecraft operations: Surface charging may occur on satellite components, drag may increase on low-Earth-orbit satellites, and corrections may be needed for orientation problems. (130 days per cycle) Flares ~ M4 Other systems: Intermittent satellite navigation and low-frequency radio navigation problems may occur, HF radio may be intermittent, and aurora has been seen as low as Illinois and Oregon (typically 50° geomagnetic lat.) G 2 Moderate Kp = 6 600 per cycle (360 days per cycle) Power systems: High-latitude power systems may experience voltage alarms, long-duration storms may cause transformer damage Flares ~ M2 Spacecraft operations: Corrective actions to orientation may be required by ground control; possible changes in drag affect orbit predictions. Other systems: HF radio propagation can fade at higher latitudes, and aurora has been seen as low as New York and Idaho (typically 55° geomagnetic lat.). G 1 Minor Power systems: Weak power grid fluctuations can occur. Kp = 5 1700 per cycle Power systems: weak power gno nuccuations can occur. Spacecraft operations: Minor impact on satellite operations possible. Other systems: Migratory animals are affected at this and higher levels; aurora is commonly visible at high latitudes (northern Michigan and Maine). (900 days per cycle) Flares ~C5 Focus on CME prediction Credit: NOAA SWPC (G-scale)

SEIBERSDORF +_++++ ABORATORIES SEPRAD EXPERT WORKSHOP M, K. GEORGOULIS Seibersdorf, 19 Sep 2017 * Estimated based on **COMPARED FLARE-CME IMPACT: (PARTICLE) RADIATION STORMS*** frequency of occurrence and Physical measure (Flux leve of >= 10 MeV particles) assuming flare Average Frequency (1 cycle = 11 years) Description Effect Scale association of these CME and SEP events Fewer than 1 per cycle Extreme 105 Biological: Unavoidable high radiation hazard to astronauts on EVA (extra-vehicular activity); passengers and crew in high-flying aircraft at high latitudes may be exposed to radiation risk. Satellite operations: Satellites may be rendered useless, memory impacts can cause loss of control, may cause serious noise in image data, star-trackers may be rendered useress, memory impacts can cause loss of control, may cau serious noise in image data, star-trackers may be unable to locate sources; permanent damage to solar panels possible. Flares > X10+Other systems: Complete blackout of HF (high frequency) communications possible through the polar regio and position errors make navigation operations extremely difficult. Biological: Unavoidable radiation hazard to astronauts on EVA; passengers and crew in high-flying aircraft at high latitudes may be exposed to radiation risk. Satellite operations: May experience memory device problems and noise on imaging systems; star-tracker problems may cause orientation problems, and solar panel efficiency can be degraded. Severe 104 3 per cycle Flares ~ X10 Other systems: Blackout of HF radio communications through the polar regions and increased navigation errors over several days are likely. S 3 Strong Biological: Radiation hazard avoidance recommended for astronauts on EVA; passengers and crew in high-flying aircraft at high latitudes may be exposed to radiation risk. 10 per cycle 103 Flares ~ X4 Satellite operations: Single-event upsets, noise in imaging systems, and slight reduction of efficiency in solar panel are likely. Other systems: Degraded HF radio propagation through the polar regions and navigation position errors likely S 2 Moderate Biological: Passengers and crew in high-flying aircraft at high latitudes may be exposed to elevated radiation 102 25 per cycle Satellite operations: Infrequent single-event upsets possible. Other systems: Small effects on HF propagation through the polar regions and navigation at polar cap locations possibly affected. Flares ~ X3 **S** 1 Minor 10 50 per cycle ogical: None Flares ~ X2 Satellite operations: None. Other systems: Minor impacts on HF radio in the polar regions

Credit: NOAA SWPC (S-scale)

Focus on SEP prediction

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A QUESTION OF WHEN, NOT WHETHER, BUT HIGHLY NONLINEAR OVERALL



Probability for a Carrington-type flare (estimated at \approx X45 by Cliver [2013]):

- 10.3% over the next 10 yrs (>95% Cl) Riley & Love (2017)
- 4 6 % over the next 10 yrs Kataoka (2013)
- 1 event very 500 yrs Yermolaev et al. (2013)

- STEREO-B claimed an allegedly Carrington-type event

detection in July 2012 (reached S/C in 19 hours only!)

Governmental actions:

Jul 2015: Space Weather Preparedness Strategy, Cabinet Office, Dept. of Business Innovation & Skills, UK Government Oct 2015: National Space Weather Action Plan, National Science and Technology Council, US Government Governments of China, Japan, Australia, South Korea, South Africa and India are possibly moving toward this direction

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- Determination of "all clear"
- Determination of "when" and "how strong"
- Flares are a trait of a few, "privileged" regions
- Stochasticity in major flare production

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ELEMENTS OF CME FORECASTING

Needs:

- Identification of potentially eruptive regions
- Determination of CME directionality and speed
- Identification of CME magnetic structure and its variations during IP transit

Challenges:

- Prediction of arrival time
- Prediction of ICME Bz and geoeffectiveness

Arrival time: YES(?) Geoeffectiveness: NC



WSA - ENLIL + cone (but notice EUHFORIA results, as well





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CME PREDICTION CHALLENGES: ARRIVAL TIME



- Randomness in type and amplitude of discrepancy: overestimation and underestimation of arrival times appearing from event to event
- If anything, different methods for a given event seem to agree on overestimation or underestimation (at various amplitudes, however)
- Average of arrival time difference with observations nearly zero (communication with author)

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CME PREDICTION CHALLENGES: GEOEFFECTIVENESS



Vasanth et al. (2015)

Correlating different near-Sun CME characteristics (source location, speed, angular width, Type II association) to geoeffectiveness



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Table 2 Statistical properties of the 109 IP type II bursts associated CME events in SC 23. See text for details.

Moderate storms	Intense storms	Severe storms
1271 ± 71	1376 ± 134	1589 ± 141
63 % (40/64)	88 % (28/32)	92 % (12/13)
38 % [24/64]	53 % [17/32]	85 % [11/13]
47 % [30/64]	66 % [21/32]	92 % [12/13]
67 % [(43/64)	75 % (25/32)	77 % (10/13)
-71 ± 2	-141 ± 5	-283 ± 17
2.07 ± 0.34	1.52 ± 0.43	1.08 ± 0.70
	Moderate storms 1271 ± 71 63 % (40/64) 38 % [24/64] 47 % [30/64] 67 % [(43/64) -71 ± 2 2.07 ± 0.34	Moderate stormsIntense storms 1271 ± 71 1376 ± 134 $63 \% (40/64)$ $88 \% (28/32)$ $38 \% [24/64]$ $53 \% [17/32]$ $47 \% [30/64]$ $66 \% [21/32]$ $67 \% [(43/64)$ $75 \% (25/32)$ -71 ± 2 -141 ± 5 2.07 ± 0.34 1.52 ± 0.43

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ELEMENTS OF SEP PREDICTION



Needs:

- Knowledge of connectivity between injection location and geospace
- Knowledge of upstream connectivity ahead of a CME shock with geospace
- Knowledge of injection location



Challenges:

- Temporal profile <u>and peak</u>, as a function of particle energy
- Arrival time at 1 AU

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SUMMING EVERYTHING UP

	Input	Heliographic location	Orientation	Outcome	SWx forecasting value
Flares	Solar data	YES, for SEPs (impulsive component)	_	Flare - SEP probabilities	Radio blackouts; S-storms (?); G-storms(?)
CMEs	Coronagra ms; flares; solar data	YES, for SEPs (gradual component)	YES, for propagation	Arrival time; ICME Bz	S-storms; G-storms
SEPs	Flares; CMEs; shocks	YES	YES, of CMEs	Arrival time; amplitude; temporal profile	S-storms

To satisfy every forecast need, one should tackle all three problems self-consistently and combine all available information















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EXAMPLE 4: ACCOMMODATE AS MUCH OF THE COMPREHENSIVE APPROACH AS NECESSARY

CME shock arrival forecast:	
Anemomilos (Tobiska, 2013) Entri (Construction of the Construction of the Con	
EAM (Effective Acceleration Model) (Paouris et al., 2017) Elsis (Clines Exceleration Model) (Aouris et al., 2017)	
• ElEvo (<i>Ellipse Evolution</i>) Model (Mostl <i>et al.</i> , 2015)	
• ESA (Empirical Shock Arrival) Model (Copalswamy et al., 2001, 2005)	
• H3DMHD (HAFV.3 + 3DMHD) Model (Wu <i>et al.</i> , 2011)	
• HAFV.3 (Fry et al., 2001, 2003, Smith et al., 2009, McKenna–Lawlor et al., 2006)	
• SAP (Sneath-accumulating Propagation) (Takanashi and Shibata, 2017)	Source: NASA / CCMC
• SARM (<i>Shock ARrival Model</i>) (Nunez <i>et al.</i> , in preparation)	
• SPM (Feng and Zhao, 2006) and SPMZ (Zhao and Feng, 2014)	
• STOA (Shock Time of Arrival) (Dryer et al., 1984, 2004, Fry et al., 2001, McKenna-Lawlor et al., 2006)	
• WSA-ENLIL + Cone Model (Odstrcil <i>et al.</i> , 2004)	
• Ballistic projection	
CME arrival forecast:	Numerous methods, with
• BHV (Bothmer Heseman Venzmer) Model (Bothmer and Schwenn, 1998)	
• DBM (Drag Based Model) (Vršnak et al., 2013)	varving sophistication at
• DBM + ESWF (Drag Based Model + Empirical Solar wind Forecast) (Vršnak, Temmer, Veronig, 2007; Rotter et al., 2015)	varynig sopristication, at
COMESEP automated system (CGFT, Geomag24) (Crosby et al., 2012)	the benefit of achieving a
• ECA (<i>Empirical CME Arrival</i>) Model (Gopalswamy <i>et al.</i> , 2000, 2001)	
• Expansion Speed Prediction Model (Schwenn, 2005)	near-realtime shock and
• WSA-ENLIL + Cone Model (Odstrcil <i>et al.</i> , 2004)	
• HelTomo (Jackson <i>et al.</i> , 2010, 2011)	CME arrival time – some
• HI J-map technique (Sheeley, 2008; Rouillard <i>et al.</i> , 2008; Davis <i>et al.</i> , 2009, 2011)	
• TH (<i>Tappin-Howard</i>) Model (Tappin and Howard, 2009, Howard and Tappin, 2010)	with SEP information

Ballistic projection



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EXAMPLE 5: SEP FORECASTING ONLY



Prediction of shock and SEP arrival time using various levels of information

Previous lecture by M. Núñez





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AN INDICATIVE LIST OF FORECAST MODELS : OBVIOUSLY A THRIVING TOPIC!

Services / Projects	Flares	C M Es	S E Ps	Who	
A-EFFORT	Х			ESA / Acad. Athens (GR)	
ASAP	Х			U. Bradford (UK)	
ASSA	Х			Korean Space Agency (KR)	More underway
COMESEP			Х	European Commission (EU)	(EUHFORIA,
FLARECAST	Х			European Commission (EU)	PROGRESS, DAFFS,
FORSPEF	Х	Х	Х	ESA / Nat. Obs. Athens (GR)	PSTEP, etc.) and
HESPERIA			Х	European Commission (EU)	many more
MAG4 / SPRINTS	Х	Х	Х	U. Alabama-Huntsville (USA)	providing input to
RELEASE			Х	NASA / CCMC (USA)	CCMC flare and
SOLAR MONITOR	Х			Max Millennium (USA, IE)	CME scoreboards
SOLPENCO			Х	ESA / U. Barcelona (SP)	
SWPC	Х			NOAA (USA)	
UMASEP			Х	ESA / U. Malaga (SP)	



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Source: NASA SDO

A POTENTIAL AVENUE TO STREAMLINING: FLARECAST

FLARECAST is an EC H2020 project aiming to develop an advanced solar flare prediction system based on automatically extracted physical properties of solar active regions, coupled with state-of-the-art solar flare prediction methods and validated using the most appropriate forecast verification measures.



FLARECAST top-level objectives:

- To understand the drivers of solar flare activity and improve flare prediction
- To provide a globally accessible flare prediction service that facilitates expansion
- To engage with space weather end users and inform policy makers and the public

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FLARECAST

FLARECAST DATA TYPES

Overarching science question: how far can we go in predicting solar flares?

External data:

- SDO / HMI NRT SHARPs
- NOAA / SWPC SRS data
 - Active region numbers
 - AR locations
 - Flare occurrences

Science data:

- Extracted properties
- Prediction algorithm config.
- Predictions
- Validation

Infrastructure data:

- Algorithm management
- Workflow management

http://flarecast.eu







- Modify the prediction step, to include clues toward understanding / predicting CMEs and SEPs
- Complement the prediction step with prediction of CMEs and SEPs

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FLARECAST INFRASTRUCTURE: PORTABLE, MODULAR, EXPANDABLE



An open-source, agile infrastructure that can add or remove components in an Docker-engine architecture

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HOW CAN PROGRESS BE JUDGED? VALIDATION

Binary validation: Flare (YES) or No Flare (NO)

	Forecast Flare	Forecast No-flare
Observed Flare	TP	FN
Observed No-flare	FP	TN

2 x 2 contingency table

- TP : true positives
- FN : false negatives
- FP : false positives
- TN : true negatives

Table courtesy: Shaun Bloomfield

Generalized skill score:

 $SS = \frac{score - score_{reference}}{score_{perfect} - score_{reference}}$

Tailoring according to different end user needs

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 $SS = \frac{score - score_{reference}}{score_{perfect} - score_{reference}}$

Tailoring according to different end user needs

• Heidke skill score (ref: random prediction):

$$HSS = \frac{2(TP + TN) - N}{N}$$

Appleman skill score (ref: climatology [v]):

$$ApSS = \frac{TP - FP}{N}$$

• True skill statistic (ref: weighting POD w. POFD):

$$TSS = POD - POFD$$



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HOW CAN PROGRESS BE JUDGED? VALIDATION

Accept that a probability 0 < p < 1 is assigned to each prediction





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HOW CAN PROGRESS BE JUDGED? VALIDATION

Accept that a probability 0 < p < 1 is assigned to each prediction

•



- Correlate forecast probability with observed frequency
- Compare your skill against climatology (mean flaring rate within forecast window)
- Generalized skill score:

$$SS = 1 - \frac{MSE_{forecast}}{MSE_{reference}}$$





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HOW CAN PROGRESS BE JUDGED? VALIDATION

Accept that a probability 0 < p < 1 is assigned to each prediction



- Correlate forecast probability with observed frequency
- Compare your skill against climatology (mean flaring rate within forecast window)
- Generalized skill score:

$$SS = 1 - \frac{MSE_{forecast}}{MSE_{reference}} \qquad MSE = \langle (o - p)^2 \rangle$$

Brier skill score (reference: climatology):

$$BSS = 1 - \frac{\langle (\tilde{o} - p)^2 \rangle}{\langle (o - \bar{o})^2 \rangle} \qquad \tilde{o} = \{0, 1\}$$

$$BSS \in (-\infty, 1)$$

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ONE FURTHER POINT: MORE METHODS / APPROACHES THAN NECESSARY?

- Fusion between flare and CME / SEP forecasting
- CME arrival-time prediction via drag-based models and / or HD or MHD models
- CME projected characteristics offered by more than one source (solar-source information, near-Sun observations, theoretical and numerical modeling)
- Multiple (& different) methods for SEP forecasting, from solar-only to heliosphericonly data



- We are over-determining the Sun-Earth line!
- Sometimes it might be good to even average (e.g., CME arrival times)
- But a consolidation exercise should identify and remove truly redundant approaches

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CONCLUSIONS

- An integrated space weather forecasting capability appears a necessity
- This said, solar flare, CME, and SEP forecasts have to be treated self-consistently, besides jointly
- > This has yet to be achieved
- **Proposed course of action [1]**: use fully validated "redundant" models in conjunction; aim to constrain solutions and validate the ensemble further to see what, if anything, is gained
- Proposed course of action [2]: Use the expertise / infrastructure that is already available (e.g., FLARECAST) and build upon it; EU projects are <u>fully</u> open-source
- Task not within the realm of a single person or even group global collaboration is necessary



INTEGRATED SWX FORECASTING SYSTEM

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AST

WHAT WE ARE UP AGAINST: SOLAR SOURCES



- Stochasticity in solar flare triggering
- The (unknown) flare CME connection
- CME directionality
- CME axial magnetic field and axis orientation

Credit: G. Chintzoglou - see also Chintzoglou et al., ApJ, 2015



Thernisien et al. (2009); Feng et al. (2012)

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WHAT ARE WE UP AGAINST: CME IP TRANSIT & IMF BZ



- Inflation / erosion
- Axis rotation
- (+ heliographic location, for SPEs)





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WHAT WE ARE UP AGAINST: COMPLEX GEOSPACE RESPONSE

ICME Magnetoshe at THEMISE IP Shock B(nT) (a) z 10⁴⁰ z 10⁴⁰ 10⁴⁰ B*(nT) 200 -200 -400 -600 (b) 2.0×10 € 1.5×10 ↓ 1.0×10 5.0×10 Her with . Wu (co)/#)-N 1º (c) 5 N, Æ 20 -40 1.0 0.8 0.0 0.4 0.2 0.4 (d) (III) 10 (s/wyl)A Electrons (eV) 10 (e) -28.5 -27.0 -27.5 -28.0 -28.5 10 100 10 hh mm 10:00 March 8, 2012 14:00 18:00 12:00 20:0 DOY of 201 L1 (WIND) Magnetosphere (THEMIS)